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ATTORNEY'S DOCKET NUMBER

ALBIHN-407

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/ 857947

INTERNATIONAL APPLICATION NO.
PCT/SE00/01758

INTERNATIONAL FILING DATES
12 SEPTEMBER 2000

PRIORITY DATE CLAIMED
12 OCTOBER 1999

TITLE OF INVENTION AN ARRANGEMENT AND A METHOD FOR CONTROLLING UNITS WITHIN A FLOW

APPLICANT(S)
FOR DO/EO/US

Björn HAMSTEN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371 (f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not transmitted by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (Unexecuted)
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5))

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. w/PTO-1449, 2 references
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 & 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☒ Other items or information:

Copy of International Application as published
Three (3) Sheets Formal Drawings

EXPRESS MAIL LABEL NO. EL804524328US

DATE: June 12, 2001

U.S. APPLICATION NO. 11/017,079 (see 37 CFR 1.5)

TITLE

An arrangement and a method for controlling units within a flow.

TECHNICAL FIELD

- 5 The present invention relates to an arrangement for controlling units within a flow, in accordance with the preamble of the appended claim 1. More particularly, the invention is intended for use in connection with sorting, grouping and distribution of a flow of products in a manufacturing process or similar. The invention also relates to a method for such control, in
10 accordance with the preamble of the appended claim 5.

STATE OF THE ART

- It is previously known to use various systems for transport and feeding of piece goods, such as the products of a manufacturing process, from one
15 place to another. For example, such systems could include transport tracks and control devices intended for sorting, distribution and grouping of such products.

- In the above-mentioned systems, transport devices are normally used for
20 forwarding the products in a running flow at a certain speed. Such transport devices would then be arranged in accordance with the current application, and might for example be arranged for feeding a product from a first station comprising a manufacturing process to a second station comprising packaging of a number of such products.

25

- For some types of product flow within a manufacturing process, very high flow rates may occur. As an example of such a flow, the manufacture of paper, to be used for example as toilet and kitchen roll paper, could be mentioned. In such a manufacturing process, initially very long paper rolls are
30 manufactured, which are subsequently cut into a very large number of smaller rolls (i.e. the finished toilet and kitchen rolls) of a predetermined length. These finished rolls are then conveyed further to a station for

grouping into larger units, e.g. packages of eight rolls, which are subsequently conveyed to a further station for packaging, e.g. into bales in turn comprising eight such packages.

- 5 In connection with the above process, a very high paper feeding speed will entail a further, substantial increase of the flow rate after the cutting into separate rolls. This flow rate increase could then cause a requirement for distributing the flow of finished rolls from a main flow into a larger number of subsequent flows. For example, one single manufacturing station could then
10 be used for producing a flow of rolls being fed to five or ten subsequent stations for grouping and packaging.

- In this connection it is previously known to distribute manufactured products from a main flow into several subsequent partial flows, i.e. into one of several
15 different paths of a subsequent flow. Such known systems are however characterised by a relatively low flow rate, as the main flow has to be stopped during a short period of time, in which the product in the front rank of the main flow is guided into one of the subsequent partial flows. Only then can the main flow go on, whereupon the procedure will be repeated with the
20 following product.

- The problem may in some cases be solved by designing the manufacturing process in question with large buffers, or with dedicated extended conveyors. One problem with such solutions is, however, their space requirement. This
25 in turn will be a disadvantage when producing for example in factories with a small floor area.

DISCLOSURE OF INVENTION

- The object of the present invention is to provide an improved arrangement for
30 the control of a flow of units, e.g. manufactured products, whereby the above-mentioned problems can be solved. This is achieved by means of an arrangement, the characteristics of which are defined by the appended claim

1. Said object will also be achieved through a method, the characteristics of which are defined by the appended claim 5.

The invention constitutes a device for controlling units within a flow from at least one incoming feeder track into at least one outgoing feeder track, comprising at least one shifting unit for controlled shifting of said flow into one or more selectable paths of said outgoing feeder track. The invention is characterised by said shifting unit being provided with means for controlling the speeds of the respective units, for separation of the units in the longitudinal direction of flow, said separation enabling said controlled shifting.

Through the invention, several advantages are achieved. Primarily it should be noted that the invention allows high unit flow rates. Besides this, there will be no capacity losses during shifting, as a continuous flow is conveyed also during the shifts. Furthermore, a counting of the units is enabled, due to the above separation.

Preferred embodiments of the invention are defined by the subsequent dependent claims.

20

BRIEF DESCRIPTION OF DRAWINGS

The invention will be described in further detail below, with reference to a preferred embodiment example and the enclosed drawings, wherein:

Fig. 1 shows an arrangement according to the present invention;

Fig. 2 shows an arrangement according to an alternative embodiment of the present invention;

Fig. 3 shows, in principle, a further embodiment of the invention.

25 PREFERRED EMBODIMENTS

Fig. 1 illustrates, schematically, a perspective view of an arrangement according to the present invention. According to a first, preferred embodiment, the invention is arranged as a station 1 in connection with a

manufacturing process for manufacturing toilet paper rolls. The invention is however not limited to such an application, but may be used for a multitude of different product flows.

- 5 According to what is shown in Fig. 1, the station 1 comprises a base 2 supporting an incoming track 3 for feeding a flow of units, in the form of packages of toilet rolls 4, for example packaged with eight toilet rolls in each package 4.
- 10 The incoming track 3 comprises a transport device for controlling and feeding of the packages 4 in the direction indicated by the arrow 5 in Fig. 1. Preferably, the transport device may comprise endless conveyor belts on both sides of the packages 4, feeding the packages 4, by means of a motor drive, in the direction of said arrow 5 at a predetermined speed. The principle
- 15 for controlling a product flow by means of conveyor belts and similar devices is as such previously known and will not be described in detail here.

- The packages 4 are directed along the incoming feeder track 3 to a further unit in the form of a shifting unit 6, preferably in the form of a feeder track
- 20 comprising a transport device which, like the incoming feeder path 3, is arranged for feeding units forward at a certain speed. However, the shifting unit 4 is arranged so as to be able to pivot in the horizontal plane, as indicated by a second arrow 7 in Fig. 1. For this purpose, the shifting unit 6 is pivotally arranged so as to allow pivoting about an imaginary axis 8,
- 25 extending substantially in a vertical direction.

- By means of the shifting unit 6, each package can be guided in the direction of an outgoing track, preferably likewise equipped with conveyor belts or similar, for transporting the packages 4 forward. More precisely, the shifting
- 30 unit 6 is designed to guide each package 4 along a multitude of different, horizontally spaced courses or paths 10, depending on the currently set pivoting position of the shifting unit 6. In this manner, the packages 4 are

guided to a predetermined position along a certain path 10 of the outgoing track 9. In the embodiment shown in Fig. 1, the outgoing track 9 consists of a conveyor belt used for grouping the packages 4 into groups of eight, which, in a subsequent (not illustrated) station may be packaged in a suitable manner, e.g. by plastic wrapping. This corresponds to the outgoing track 9 being defined with eight different paths 10, as indicated by arrows in Fig. 1.

A basic principle behind the invention is that the shifting unit 6 is arranged for a regulated speed control of the flow of packages 4, i.e. a speed control of the transport device included as an integral part of the shifting unit 6. Preferably, this speed control is performed as an acceleration of the packages 4, i.e. an increase of the speed of the individual package 4 in relation to the speed of the packages 4 along the incoming track 3. Due to this acceleration, a separation is created between two consecutive packages 4. This in turn will result in a certain distance 1 between two packages 4. The distance 1 corresponds to a certain time span, during which a shifting of the shifting unit 6 may be performed. In other words, the shifting unit 6 is then pivoted about its pivot axis 8, thereby guiding the subsequent package to another path 10 of the outgoing track 9.

The invention is thus used for continuous distribution of the packages 4 from an incoming flow into one or more outgoing flows. This is achieved by means of a controlled acceleration with a subsequent separation of the packages 4, in order to create, in this manner, a controlled distance 1 between the packages 4. Through the creation of this distance 1, a shifting and distribution may be performed between a number of outgoing flows. Preferably, the packages 4 will be separated by a distance 1 corresponding to the length of one package 4.

For the control of the packages according to the invention, the shifting unit 6 is connected to a control unit (not shown), which is preferably computer-based and functioning to govern the procedure of speed control and package

4 shifting in the shifting unit 6, a/o in dependence of the speed of the incoming track 3 and the properties of the shifting unit 6 and the outgoing track 9, respectively. Thus, the invention could be said to include means for control of the speed regulation and of the shifting of the shifting unit, said
5 means comprising a control unit connected to the shifting unit 6, conveyor means being controlled to a suitable speed, and means for the actual pivoting of the shifting unit 3 (preferably consisting of an electrical motor controlled by commands from the control unit so as to initiate the shifting at the appropriate points in time), all used for separating the units 4 in the
10 longitudinal direction of the flow, enabling said shifting. The invention enables a control procedure at a very high average flow rate or speed. In particular, shifting can be performed while a continuous flow of packages 4 is passing through the shifting unit 6.

15 A further advantage of the invention is that the above-mentioned separation of packages 4 enables counting the number of packages 4 passing the shifting unit 6.

The invention can be used to control and feed the individual package 4 by
20 means of transport devices acting transversally on the packages, as illustrated by Fig.1. At a station 1a, in accordance with an alternative embodiment of the invention shown in Fig. 2, the invention can instead be utilised to control and feed units, e.g. in the form of toilet paper rolls 4a, by means of transport devices acting vertically. In the latter drawing, two
25 incoming tracks 3a, 3b and two shifting units 6a, 6b are shown, functioning so as to control each toilet roll 4a by supporting it vertically. This station 1a further comprises an outgoing track 9a subdivided into four different paths 10a, 10b, 10c, 10d.

30 Through controlling the speed of the toilet rolls 4a within the shifting units 6a, 6b, a continuous shifting into one of the different paths 10a, 10b, 10c, 10d of the outgoing track 9a is enabled. To this end, each shifting unit is arranged

for pivoting about an imaginary axis, 8a, 8b, respectively, extending substantially in the vertical direction.

Through each transport device in the respective shifting unit 6a, 6b, being
5 arranged so as to contact the rolls 4a from above and from below, a very compact arrangement is provided, where the shifting units 6a, 6b can be pivoted to allow feeding into two adjacent paths (e.g. 10b and 10c) of the outgoing track 9a.

10 According to a further embodiment of the invention, an increased average speed is allowed of the flow of units through a station. This embodiment is described with reference to Fig. 3, which shows, in principle, a top view of a station 1c that could be designed according to what is shown in Fig. 1, but that is designed with an incoming track 3c arranged for feeding units 4c in a
15 direction indicated by an arrow 5, i.e. towards the right in the figure. According to the embodiment, the incoming track 3c is arranged for a variable speed of the units 4c, preferably periodically variable. Furthermore, the shifting unit 6c is arranged with a constant speed, preferably higher than the highest momentary speed of the incoming track 3c. Through this
20 arrangement, a feeding is achieved that allows a variable distance between the units 4c as they are fed along the shifting unit 6c. This variable distance can be used, according to the embodiment, to perform a shifting of the flow under transfer to an outgoing track 9c (e.g. between different paths 10e, 10f, 10g, 10h, 10i), in between two consecutive units (indicated with 4d and 4e,
25 respectively, in Fig. 2), between which there is a distance of such magnitude that said shifting is possible. Thus, the shifting unit 6c is then shifted so as to direct the flow to another one of the paths 10e, 10f, 10g, 10h, 10i of the outgoing track 9c.

30 The embodiment according to Fig. 3 is suitable for those applications where very high flow rates (in the order of 300 products per minute or higher) are

required. This embodiment is also suitable where the shifting of an entire group of units is wanted.

5 The invention can be utilised for grouping a flow of units within a process, that is directing the individual unit into a formation or group suitable for a certain subsequent treatment, e.g. packaging. The invention is also suitable for sorting a flow of units, i.e. guiding the individual unit into different paths of an outgoing track, depending on what type of unit is passing the shifting unit (e.g. a unit of a first material to a first path, a unit of a second material to a second path, etc.).
10 The invention is also suitable for distributing units from e.g. one incoming flow into several outgoing flows.

The invention will not be limited to the embodiments described above, but can be varied within the scope of the appended claims. For example, the
15 invention is not limited to controlling a flow along a substantially horizontal plane between different paths of an outgoing track, but may also be utilised for controlling units vertically, i.e. between different paths arranged at different vertical levels.

20 The invention can be used with flows of several different types of units besides rolls of paper, as described above. The invention also allows very quick shifting of the shifting unit between different paths of an outgoing track. More precisely, shifting can be performed within about 0.1 second, allowing a flow rate in the order of 300 units per minute. The invention may however be
25 dimensioned for a different shifting time. The embodiment illustrated in Fig. 3 will allow an average flow rate in excess of 300 units per minute.

The invention can be used for one or several incoming flows distributed into a larger or smaller number of outgoing flows. The invention may thus be
30 applied onto a flow running in the reverse direction, compared to what is shown e.g. in Fig. 1, that is, for merging various partial flows into one single,

larger flow. An identical number of incoming and outgoing flows is also conceivable, if the invention is used for the sorting of units.

5 The incoming track could be comprised of a transport track where the units are transported at a certain speed, or could alternatively be comprised of a buffer with substantially stationary units, which are successively fed into the shifting unit.

10 The transport devices used within the shifting unit and, if applicable, also in the incoming and the outgoing track, could be of the endless conveyor belt type, made of rubber or similar material. Alternatively, the transport devices may consist of band conveyors, belt conveyors or chain conveyors.

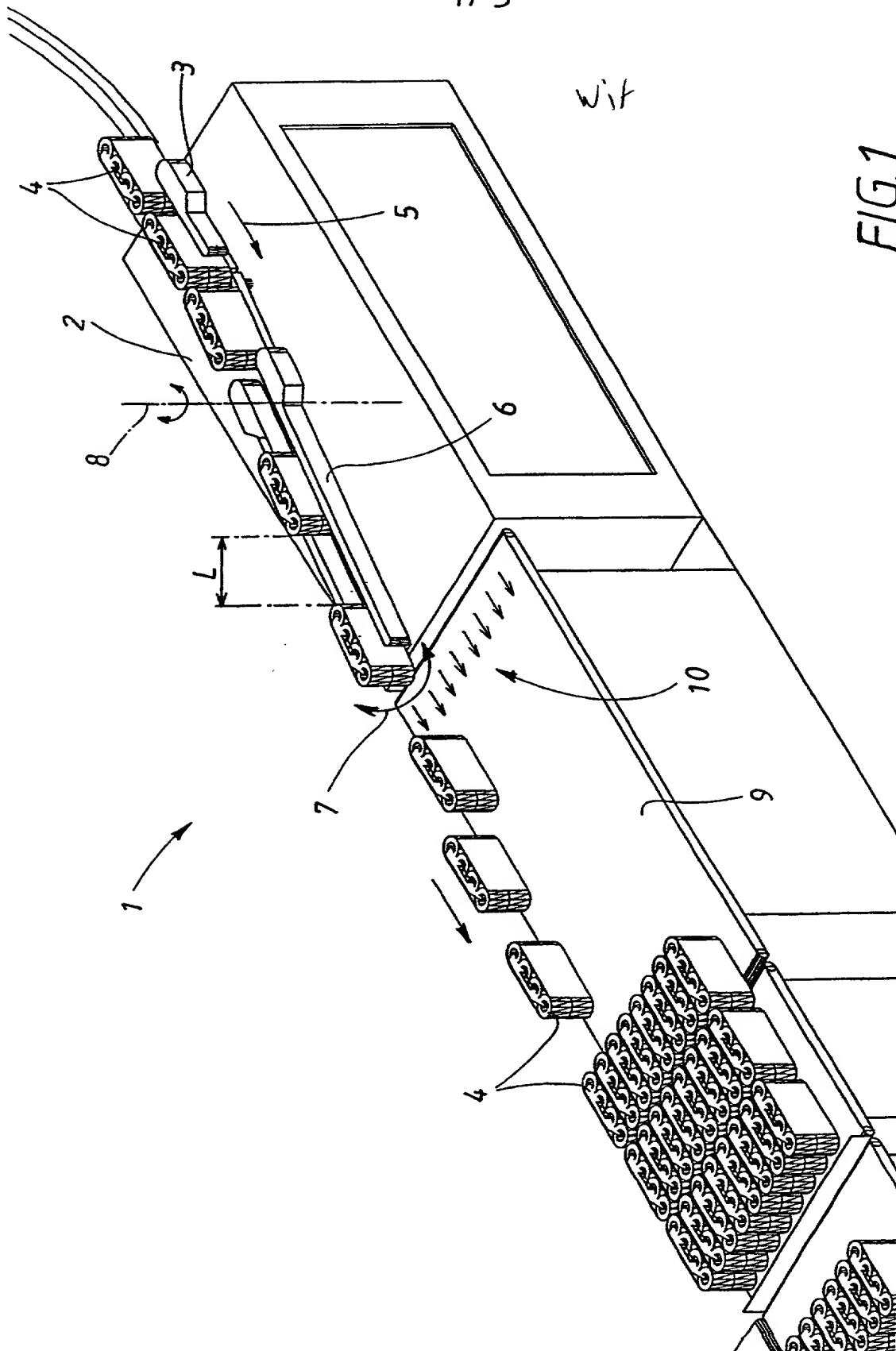
CLAIMS

1. An arrangement for controlling units (4; 4a) within a flow from at least one incoming feeder track (3; 3a) into at least one outgoing feeder track (9; 9a), comprising at least one shifting unit (6; 6a) for controlled shifting of said flow into one or more selectable paths (10; 10a) of said outgoing feeder track (9; 9a), characterised by said shifting unit (6; 6a) being provided with means for controlling the speeds of the respective units (4; 4a), for separation of the units (4; 4a) in the longitudinal direction of flow, said separation enabling said controlled shifting.
2. The arrangement according to claim 1, characterised by said means functioning to control the speed of each unit (4; 4a), with a controlled acceleration of said unit (4; 4a) up to a speed exceeding the speed of the flow within said incoming track (3; 3a), said separation resulting in a distance (1) between two consecutive units (4; 4a), inside said shifting unit (6; 6a).
3. An arrangement according to claim 1 or 2, characterised by functioning to perform said shifting during a continuous flow of units (4; 4a), without arresting said flow.
4. An arrangement according to any one of the preceding claims, characterised by said incoming track (3c) being arranged for feeding units at a variable speed, whilst the shifting unit (6c) is arranged for a constant speed, said means being arranged to control the shifting after a predetermined number of units (4c).
5. A method for controlling units (4; 4a) within a flow from at least one incoming feeder track (3; 3a) into at least one outgoing feeder track (9; 9a), comprising controlled shifting of said flow into one or more selectable

paths (10; 10a) of said outgoing feeder track (9; 9a), characterised by comprising:

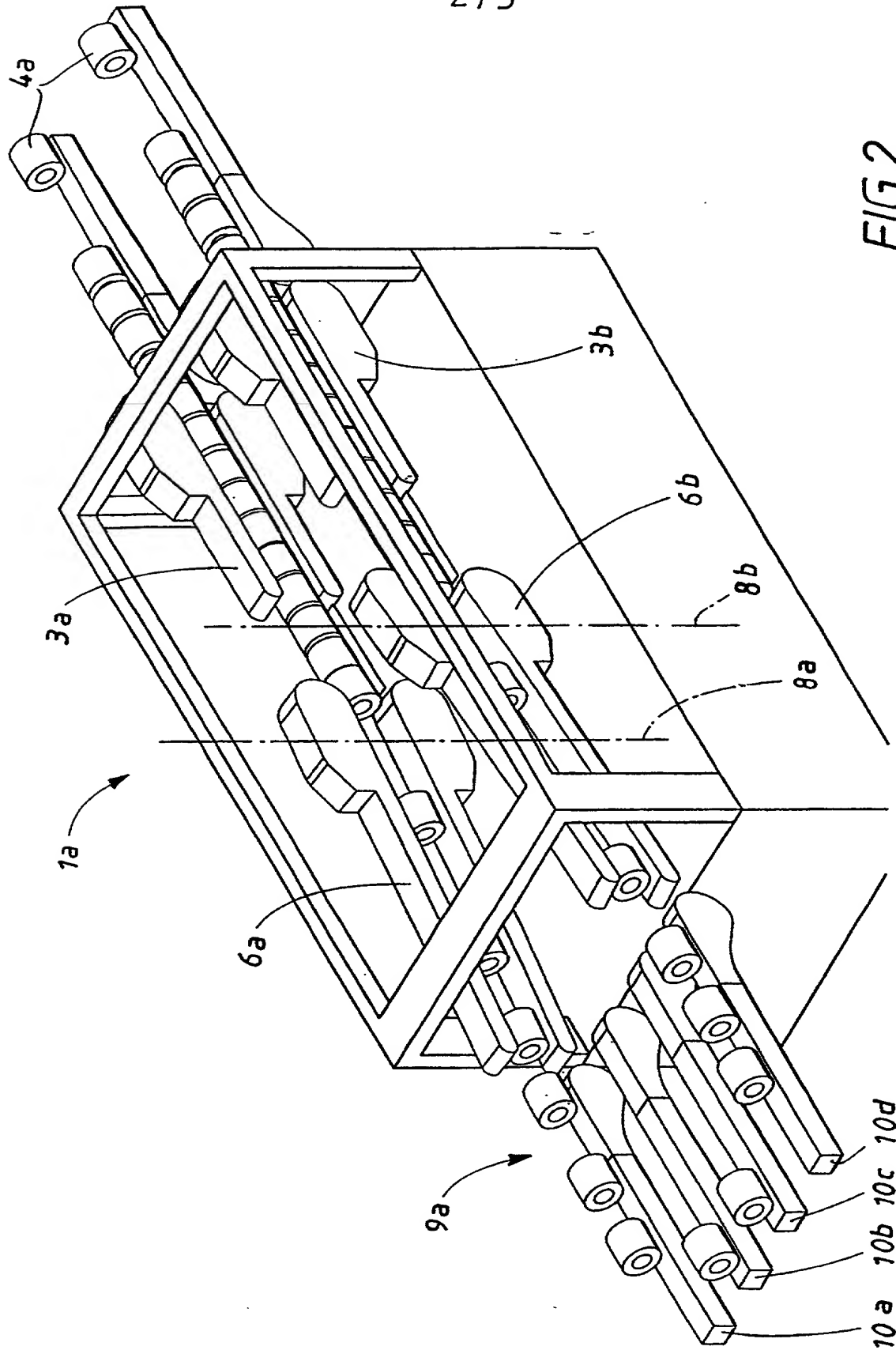
- controlling the speeds of the respective units (4; 4a), for
- 5 separation of the units (4; 4a) in the longitudinal direction of flow,
- said shifting being performed between two consecutive units (4; 4a) separated by a distance enabling said controlled shifting.

FIG. 1



2/3

FIG. 2



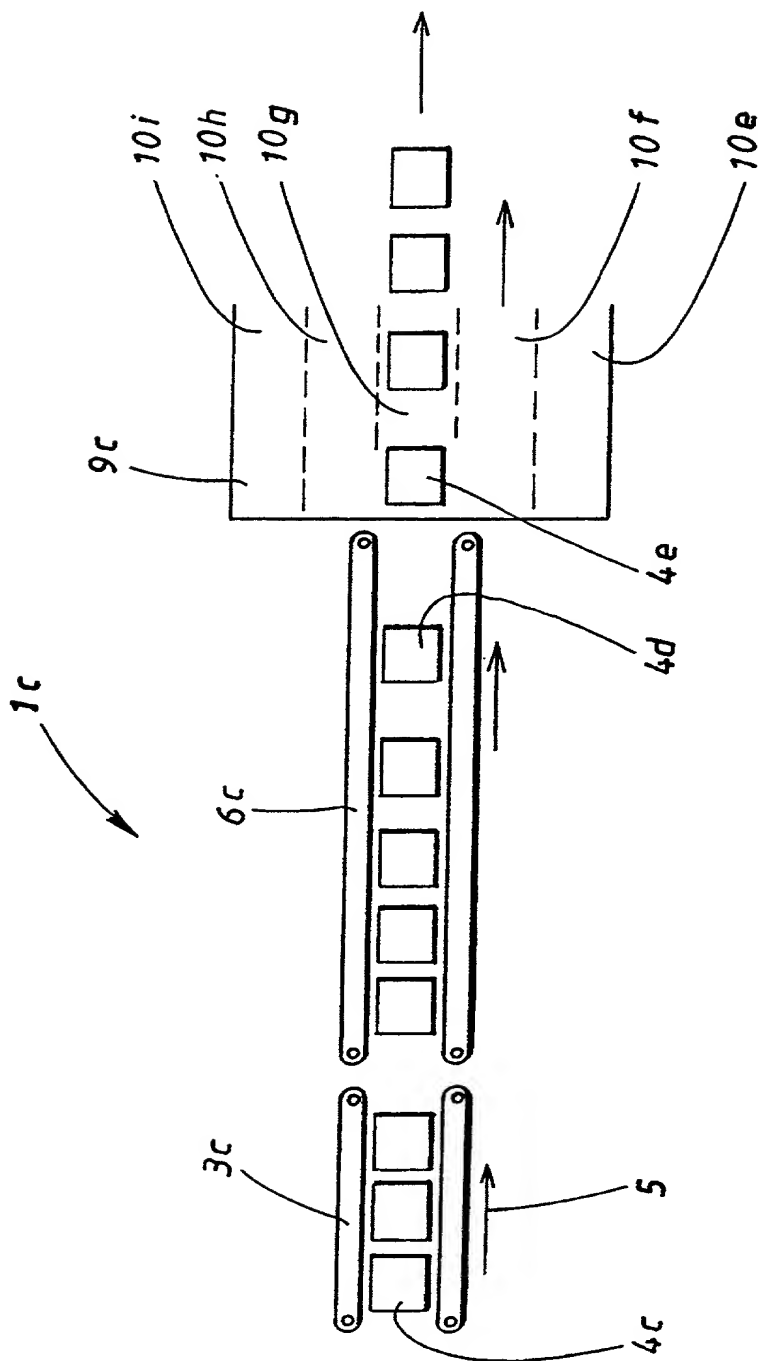


FIG. 3

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

ATTORNEY'S DOCKET NO.: ALBIHN-407

As a below-named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AN ARRANGEMENT AND A METHOD FOR CONTROLLING UNITS WITHIN A FLOW the specification of which

☐ is attached hereto

☒ was filed on 12 September 2000 as United States Application Number or PCT International Application Number PCT/SE00/01758 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (month, day, year)	PRIORITY CLAIMED
SE	9903691-5	OCTOBER 12, 1999	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

LISTING OF FOREIGN APPLICATIONS CONTINUED ON PAGE 3 HEREOF ☐ YES ☒ NO

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Application Number:

Filing Date:

Application Number:

Filing Date:

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Parent Application Serial Number:

Parent Filing Date:

Parent Patent No.:

U.S. Parent Application Serial Number:

Parent Filing Date:

Parent Patent No.:

PCT Parent Number:

Parent Filing Date:

LISTING OF US APPLICATIONS CONTINUED ON PAGE 3 HEREOF: ☐ YES ☒ NO

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 000530

DIRECT ALL CORRESPONDENCE TO: Customer No. 000530

DECLARATION -- Page 2

ATTORNEY DOCKET NO. ALBIHN W 3.3-407

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00 Full name of sole or first inventor (given name, family name): Björn Hamsten

Inventor's signature *Björn Hamsten* Date 14 May 2001

Residence: GÖTEBORG, Sweden SEX SE X Citizenship: Sweden ✓

Post Office Address: Slottskogsgatan 91, S-424 76 GÖTEBORG, Sweden

Full name of second joint inventor, if any (given name, family name): _____

Second Inventor's signature _____ Date _____

Residence: _____ Citizenship: _____

Post Office Address: _____

Full name of third joint inventor, if any (given name, family name): _____

Third Inventor's signature _____ Date _____

Residence: _____ Citizenship: _____

Post Office Address: _____

Full name of fourth joint inventor, if any (given name, family name): _____

Fourth Inventor's signature _____ Date _____

Residence: _____ Citizenship: _____

Post Office Address: _____

Full name of fifth joint inventor (given name, family name): _____

Fifth Inventor's signature _____ Date _____

Residence: _____ Citizenship: _____

Post Office Address: _____

Full name of sixth joint inventor, if any (given name, family name): _____

Sixth Inventor's signature _____ Date _____

Residence: _____ Citizenship: _____

Post Office Address: _____

Full name of seventh joint inventor, if any (given name, family name): _____

Seventh Inventor's signature _____ Date _____

Residence: _____ Citizenship: _____

Post Office Address: _____

Full name of eighth joint inventor, if any (given name, family name): _____

Eighth Inventor's signature _____ Date _____

Residence: _____ Citizenship: _____

Post Office Address: _____